Complete if Known 09/210,952 **Application Number** FEE TRANSMITTAL Filing Date 15 December 1998 First Named Inventor Michael M. FAINZILBER et al. (Large Entity) **Group Art Unit** 1643 **Examiner Name** JUL 2 9 1999 Total Amount of Payment (\$) 3,178.00Attorney Docket Number 2314-147

## **METHOD OF PAYMENT** (check one)

FEE CALCULATION (continued)

- 1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Kurz
  - [ X ]Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
  - [ ] Charge for the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance
- 2. [X]Payment Enclosed: Check

# FEE CALCULATION

### . 1. FILING FEE

	Fee	Fee
Fee Description	Code	Paid
[ X ]Utility Filing Fee	101	760
[ ] Design Filing Fee	106	310
[ ] Plant Filing Fee	107	480
[ ] Reissue Filing Fee	108	760
[ ] Provisional Filing Fee	114	150

**SUBTOTAL** \$760.00

#### CLAIMS.

	Fee	e from			
•,		Extra	below		Fee Paid
Total Claims Independent	47 - 20 =	27 x	\$18	=	486.00
Claims	7 - 3 =	4 x	78	=	312.00
Multiple Dependent	t Claims	+	260	=	

3. ADDITIONAL FEES

		. ••	
	Fee Description	Code	Paid
[X]	Surcharge - late filing fee or oath	105	130 ℃
[]	Surcharge - late provisional filing fee or cover sheet	127	50
[]	Non-English specification	139	130
[]	For filing a request for reexamination	147	2,520
[]	Requesting publication of SIR prior to Examiner action	112	920
[]	Requesting publication of SIR after Examiner action	113	1,840*
[]	Extension for reply within first month	115	110
Ü	Extension for reply within second month	116	380
	Extension for reply within third month	117	870
[X]	Extension for reply within fourth month	118	1,360 ∨
[]	Extension for reply within fifth month	128	1,850
[]	Notice of Appeal	119	300
[]	Filing a brief in support of an appeal	120	300
[]	Request for Oral Hearing	121	260
[]	Petition to institute a public use proceeding	138	1,510
[]	Petition to revive -unavoidable	140	110
[]	Petition to revive - unintentional	141	1,210
[]	Utility issue fee (or reissue)	142	1,210
	Design issue fee	143	430
[]	Plant issue fee	144	580 -
[X]	Petitions to the Commissioner	122	130
[]	Petitons related to provisional applications	123	50
[]	Submission of Information Disclosure Statement	126	240
[]	Recording each patent assignment per property (times number of properties)	581	40
[]	Filing a submission after final rejection (37 CFR .129(a))	146	760
ij	For each additional invention to be examined	149	760
	(37 CFR 1.129(b))		

Other fee (specify) 260

[]

**SUBTOTAL** \$ 798.00

\* Reduced by Basic Filing Fee Paid

SUBTOTAL

Fee

Fee

\$1,620.00

SUBMITTED BY			Complete (if applicable)		
NAME AND Stephen A. Saxe, Ph.D., Reg. No. 38,609					
SIGNATURE	Stephen a . Sake	DATE	July 29, 1999	DEPOSIT ACCOUNT USER ID	02-2135

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

**Box Missing Parts** 

Michael M. FAINZILBER et al.

Serial No. 09/210,952

Filed: 15 December 1998

For: GAMMA-CONOPEPTIDES



### RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated 29 January 1999, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 130.00 (surcharge under 37 C.F.R. 1.16(e))
760.00 (basic filing fee)
798.00 (additional claims fee)
1,360.00 (4 month extension of time)
130.00 (petition)
\$3,178.00 TOTAL

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document(s) is/are being submitted concurrently under separate cover: Tab A - One (1) Declaration/Power of Attorney.

Tab B - A Request for a Four-Month Extension of Time under 37 C.F.R. 1.136(a) and check for the requisite fee.

- Tab C Petition for filing Patent Application by the Assignee Pursuant to 37 CFR § 1.47(b).
- Tab D Declaration of Brent K. Brown and attached copy of Policy and Procedures Manual.
- Tab E Declaration of Joel B. Kirschbaum and attached copy of University of California Patent Policy, Effective October 1, 1997 together with a Technology Disclosure Form, UCSF Office of Technology Management signed by inventor Michael Fainzilber.
- Tab F An Assignment signed by Craig Walker, Maren Watkins and Julita Imperial assigning their rights to the University of Utah.
- Tab G An Assignment signed by Brent K. Brown assigning the rights of the University of Utah to the University of Utah Research Foundation.

Respectfully submitted,

 $\mathbf{B}\mathbf{y}$ 

Stephen A. Saxe

Attorney for Applicants

Registration No. 38,609

ROTHWELL, FIGG, ERNST & KURZ, p.c.

Suite 701-E, 555 13th Street, N.W.

Washington, D.C. 20004

Telephone: (202)783-6040

Dated: 29 July 1999

147.NMP

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

**Box Missing Parts** 

Michael M. FAINZILBER et al.

Serial No. 09/210,952

Filed: 15 December 1998

For: GAMMA-CONOPEPTIDES

Attention:

Applications Branch

## RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated 29 January 1999, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 130.00 (surcharge under 37 C.F.R. 1.16(e))
760.00 (basic filing fee)
798.00 (additional claims fee)
1,360.00 (4 month extension of time)
130.00 (petition)
\$3,178.00 TOTAL

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document(s) is/are being submitted concurrently under separate cover:

Tab A - One (1) Declaration/Power of Attorney.

Tab B - A Request for a Four-Month Extension of Time under 37 C.F.R. 1.136(a) and check for the requisite fee.

Tab C - Petition for filing Patent Application by the Assignee Pursuant to 37 CFR § 1.47(b).

Tab D - Declaration of Brent K. Brown and attached copy of Policy and Procedures Manual.

- Tab E Declaration of Joel B. Kirschbaum and attached copy of University of California Patent Policy, Effective October 1, 1997 together with a Technology Disclosure Form, UCSF Office of Technology Management signed by inventor Michael Fainzilber.
- Tab F An Assignment signed by Craig Walker, Maren Watkins and Julita Imperial assigning their rights to the University of Utah.
- Tab G An Assignment signed by Brent K. Brown assigning the rights of the University of Utah to the University of Utah Research Foundation.

Respectfully submitted,

Stephen A. Saxe

Attorney for Applicants

Registration No. 38,609

ROTHWELL, FIGG, ERNST & KURZ, p.c.

Suite 701-E, 555 13th Street, N.W.

Washington, D.C. 20004

Telephone: (202)783-6040

Dated: 29 July 1999

147.NMP